NIAAA’s Small Business Innovation Research and Small Business Technology Transfer Research (SBIR/STTR) programs are part of America’s seed fund for small businesses in need of funding and resources to accelerate innovative solutions to help millions of Americans overcome alcohol use disorder (AUD) and related problems. Through the program, NIAAA fosters small business and private-sector participations through grants, contracts, and technical assistance. NIAAA’s SBIR/STTR program provides vital non-dilutive, early-stage funds to your small businesses so you can do the work needed to bring your innovative treatments and products in the AUD field out of the lab and onto the market.

**SBIR** supports early-stage research and development projects at small businesses.

**STTR** supports small businesses in a formal collaboration with a non-profit research institution.

**PROJECT LEADERSHIP**

SBIR requires the project’s principal investigator to be employed primarily (more than half-time) by the small business during the award period.

STTR does not define employment criteria for the project’s principal investigator.

**NONPROFIT RESEARCH INSTITUTION PARTNERSHIPS**

SBIR encourages but does not require nonprofit research institution partnership. A research institution can complete up to 33% of the total effort for a Phase I project and up to 50% of the total effort for a Phase II project, as applicable.

STTR requires the small business to have a formal collaboration agreement with a nonprofit research institution during Phases I and II. The research institution is responsible for at least 30% of the total effort for the project, and the small business is responsible for at least 40%.

Awards are always made to the small business.

**Why Apply?**

NIAAA’s SBIR/STTR program offers non-diluted, stable, and predictable funding to help your small business commercialize innovative new products and treatments to prevent, diagnose, and treat AUD. Small businesses and research organizations retain their intellectual property rights and gain recognition, validation, and visibility to help attract future funding from strategic partners and investors. Awardees are also eligible to apply for additional technical assistance, commercialization R&D support, and training programs to help ensure success.

**NIAAA Supports Research on:**

- **PREVENTION**
- **TREATMENT**
- **BEHAVIORAL THERAPIES**
- **HEALTH EFFECTS**
- **MEDICATIONS**
- **DEVICES**
- **FETAL ALCOHOL SPECTRUM DISORDERS**
- **HEALTH DISPARITIES**
- **HEALTH SERVICES**
- **GENETICS**

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The National Institute on Alcohol Abuse and Alcoholism conducts and supports research to expand and disseminate fundamental knowledge about the effects of alcohol on health and well-being, and apply that knowledge to improve diagnosis, prevention, and treatment of alcohol-related problems, including alcohol use disorder, across the lifespan.
Program Phases & Funding Levels

**Eligibility**
For-profit U.S. small businesses with 500 or fewer employees are eligible for SBIR/STTR funding. Review the complete list of NIH SBIR/STTR program [eligibility requirements](#).

**Funding Opportunities**

**Omnibus Solicitation**
- Investigator-initiated
- Standard due dates apply

**Targeted Funding Solicitations**
- Focused on priority research topics
- Application due dates vary

**Commercialization Readiness Pilot**
- Open to Phase II and Phase IIB awardees
- Standard due dates apply

Review current [open funding opportunities](#).

**Training Opportunities**
I-Corps™ at NIH. An 8-week intensive entrepreneurship course

For questions, please contact Megan Ryan, M.B.A., at 301-443-4225 or or NIAAASBIRSTTR@mail.nih.gov

**Tips for Success**

**Start early and submit before the deadline.** Completing required registrations and crafting a strong application can take a few months, and you’ll want to leave some time at the end in case of last-minute challenges.

**Complete the five required registrations.**
Register your small business with SAM.gov and sign up for your Unique Entity Identifier (UEI) number; as well as with Grants.gov, SBA Company Registry, and the NIH eRA Commons as soon as possible. Principal Investigators must also individually register with NIH eRA Commons. Be sure to certify as a woman-owned small business (WOSB) or socially and economically disadvantaged small business (SDB) if your company qualifies.

**Use available resources to build a strong application.** Talk to your program officer about your project idea before you submit and use the SBIR/STTR Application Guide to ensure proper grant application content and format. You can also find tutorials and examples of successful applications on a variety of topics on the SBIR/STTR website. To identify a program officer in your scientific area please use the NIH Matchmaker: [https://reporter.nih.gov/matchmaker](https://reporter.nih.gov/matchmaker)

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*For specific topics, NIH may exceed these total award amounts*