

Navigating Your NIAAA SBIR/STTR Grant: Resources for Grant Recipients



Resources, tools & support for managing your small business award

Congratulations on your NIAAA Small Business Innovation Research (SBIR) / Small Business Technology Transfer Research (STTR) award!

The NIH Welcome Wagon letter you received after your Notice of Award (NoA) includes detailed information about managing your award, so review it closely. As an SBIR/STTR award recipient, you are eligible for additional resources, tools and funding to help support your business and make the most of your award.

This guide provides an overview of some of the tools and resources available to you, including:

MANAGING YOUR AWARD RESEARCH & BUSINESS SUPPORT

MANAGING YOUR AWARD



Accessing Funding

Establishing and accessing your grant funds is the first step to managing your award.

NIH uses the [Payment Management System \(PMS\)](#) to make your funds available. You will need to set up all financial systems through the PMS. Check out the [PMS Grant Recipient FAQs](#) to learn more.

By drawing down funds from the payment management system the recipient is agreeing to the NIH award and its associated terms and conditions.



Required Reporting

Your NoA and Welcome Wagon letter outline all reporting requirements for the life cycle of your award.

Phase I SBIR/STTR awardees **must provide formal reports:**

Interim & Annual

The [Research Performance Progress Report \(RPPR\)](#) is submitted annually, to document accomplishments and inventions, identify project changes, report on personnel, and describe plans for Phase II award budgets. There may be other reporting required for your grant if it is a clinical trial. Please read Notice of Award carefully.

For a renewal (Type 2) application, you should submit an Interim RPPR at year's end. If a Type 2 renewal is not funded, the Interim RPPR will serve as the final report for the project.

End of Grant

The [Closeout Report](#) should be submitted once all required work and administration on an award is complete.

The report includes:

- [Final Federal Financial Report \(FFFR\)](#) (submit through PMS)
- [Final Research Progress Report](#) summarizing progress toward scientific aims achievement and identifying significant outcomes (submit through RPPR)
- [Final Invention Statement and Certification](#) including all inventions conceived or put into practice during the award

Interim and annual reports should be submitted through [eRA Commons](#) unless specified in your Notice of Award.

Don't forget the [NIH Grants Policy Statement](#) has comprehensive information about post award processes and requirements. For specific questions, contact your NIAAA Program Officer or Grants Management Specialist.

Connect with us:



www.niaaa.nih.gov/research/niaaa-sbir | Follow us [@NIAAAnews](#) | NIAAASBIRSTTR@mail.nih.gov

Updated May 2024

RESEARCH & BUSINESS SUPPORT

NIAAA and the NIH offer a variety of programs and resources to help you bring your research to the commercial market. The NIAAA program staff and [NIH SEED](#) can help you maximize benefits from these programs and resources.

Resources to Support Your Research



Technical and Business Assistance (TABA). The TABA program provides additional funds for technical and business services such as market research and intellectual property protections.

- Award recipients may request TABA support through the NIH Administrative Supplement Parent, [PA-20-272](#), if they did not include a request in their SBIR/STTR applications
- Award recipients may also apply for a [TABA Needs Assessment Report](#) to receive a third-party assessment and guidance in 10 technical and business areas critical to success in the healthcare marketplace
- **It is important to note that participating in TABA does not limit your access to the other resources**



Commercialization Readiness Pilot (CRP). The CRP program provides additional technical assistance and late-stage research and development support not typically covered by small business awards.

Resources to Develop Your Business



Regulatory & Business Development Consultations. Consult with seasoned professionals and get expert guidance on topics such as pitch review, scope of work, regulatory requirements, and reimbursement through NIH SEED's ([Entrepreneurs-in-Residence program](#)) and subject matter experts.



Partnering & Investment Opportunities. Connect with investors and corporate partners at showcase events throughout the year and learn how to perfect your pitch to stand out from the crowd.



Supplements to Promote Diversity in Small Business Research. Request additional funds through an administrative supplement to enhance the diversity of the research and entrepreneurial workforce.

Resources to Develop Your Entrepreneurial Skills



I-Corps at NIH™. I-Corps is an 8-week intensive training program for Phase I award recipients that provides funding, mentoring, and networking opportunities to help commercialize your promising biomedical technologies.

STAY IN TOUCH!

Whether you have questions about the process along the way or you are now conducting research, stay in touch, and share your work with us! We would love to hear of your successes and continue supporting your journey.

Contact us at NIAAASBIRSTTR@mail.nih.gov and follow us [@NIAAAnews](#).

PROGRAM OFFICER:

Megan Ryan, M.B.A.
SBIR/STTR Program Director
301-443-4225
NIAAASBIRSTTR@mail.nih.gov

GRANTS MANAGEMENT CONTACTS:

Lauren Early, Senior Grants Management Specialist
lauren.early@nih.gov

Jeffrey Thurston, Deputy Chief Grants Management Officer
jeffrey.thurston@nih.gov



The National Institute on Alcohol Abuse and Alcoholism conducts and supports research to expand and disseminate fundamental knowledge about the effects of alcohol on health and well-being, and apply that knowledge to improve diagnosis, prevention, and treatment of alcohol-related problems, including alcohol use disorder, across the lifespan.