

**NOTE: The following guidelines will generally be applied with discretion by individual Institutes and/or Centers (IC)**

**Information applicable to Supplements to Promote Diversity in Health-Related Research**

Supplements are applicable to principal investigators at domestic institutions who hold an active NIH grants. For a listing of supported grants please refer to the Activity Code section of "[Part 1 Overview](#)" Information of PA-15-322. Note, however, that not all participating ICs support all the activity codes listed. Applicants should consult the [Table of IC-Specific Information, Requirements and Staff Contacts](#) for more details. NIAAA does not support and will not accept supplement applications to R03s or R21s. Supplements to the R15 may provide support above the established dollar limits for the parent grant award. The P20, P30, P60 award mechanisms are eligible for supplements only if they contain research components. The S06 award mechanism is only eligible for supplements to support postdoctoral fellows and faculty. At the time of supplemental award, the parent grant must have support remaining for a reasonable period (usually two years or more). Supplements for Established Investigators who become disabled should follow the guidance in the Program Announcement (PA-15-322). The PA for [Research Supplements to Promote Diversity in Health-Related Research](#) can be found at: <http://grants.nih.gov/grants/guide/pa-files/PA-15-322.html>. You can find more specific information on the Diversity Supplement Program for NIAAA at: <http://www.niaaa.nih.gov/grant-funding/funding-opportunities/diversity-supplements>.  
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**Summary of Allowable Costs and Other Provisions**

	High School Students	Undergraduate Students	Baccalaureate and Master's Degree Holders	Graduate (Predoctoral) and Health Professional Students – See "Note 3" below	Individuals in Postdoctoral Training	Investigators Developing Independent Research Careers (Short or Long Term)
<b>Salary &amp; Fringe Benefits</b>	(1)	(2)	<i>NIAAA does not support diversity supplements to Baccalaureate and Master's degree students who are not enrolled in a graduate PhD (or equivalent) program.</i>	(3)	(4)	(5)
<b>Supplies and Travel</b>	\$1,000 per year which includes supplies and travel (scientific meetings, home, school, and/or research site), and other.	\$200 per month for supplies and travel may be requested.		\$4,000 per year combined total <b>with no escalation</b>	\$6,000 per year combined total <b>with no escalation</b>	\$10,000 per year combined total <b>with no escalation</b> (Prorate based on number of months, e.g. \$2,500 for 3 months)
<b>Tuition Remission</b>	N/A	N/A		Allowable. See (3) below.	N/A	N/A
<b>Equipment (Note: computers are considered equipment and are <i>not allowable</i>)</b>	Unallowable (Please see "Note 2" below on Reasonable Accommodations)	Unallowable (Please see "Note 2" below on Reasonable Accommodations)		Unallowable (Please see "Note 2" below on Reasonable Accommodations)	Unallowable (Please see "Note 2" below on Reasonable Accommodations)	Unallowable, except under unusual circumstances and with NIH prior approval
<b>Period of Support (See Section III, Part 3 &amp; Section IV, Part 5 of PA-05-015)</b>	Equivalent of at least 3 months effort per year (2 years encouraged)	Equivalent of at least 3-months of full-time effort in any one year period (2 years encouraged)		Coincide with the research doctoral degree program	No specific period of support required in PA. Check IC limitations	<b>Short-term:</b> Full-time 3-5 months each year over a maximum period of 4 years. <b>Long-term:</b> up to 2 years at a minimum of 75% effort or 9 person months of a 12 month calendar appointment.

- (1) The salary, whether full- or part-time, should be consistent with the institutional salary policies. Institutional salary rates that exceed the hourly minimum wage must be justified. Minimum hourly wage rates can be verified at: <http://www.dol.gov/esa/minwage/america.htm>).
- (2) The salary for an undergraduate student should be consistent with institutional salary policies. Institutional rates for undergraduate salary that exceed \$12 per hour must be justified. Students are expected to devote the equivalent of at least three months of full-time effort to the research project and related activities in any one year; in most cases, the period of support for any individual should last at least two years.
- (3) The NIH will provide compensation that (1) conforms to the established, consistently applied salary and wage policies of the institution and (2) reflects the percentage of time devoted to the PHS-funded project. For graduate students this compensation may include tuition remission paid as, or in lieu of, wages provided that the student is in a bona fide employer-employee relationship with the institution for the work performed, and payment is made explicitly for performance of necessary work. The total amount requested for salary, tuition and fringe benefits cannot exceed the amount allowable for a first year postdoctoral fellow (i.e., level zero) at the same institution performing comparable work (see NIH Guide announcement, <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-16-062.html>).
- (4) The requested annual salary and fringe benefits must be in accordance with the salary structure of the grantee institution, consistent with the level of effort, and may not exceed the maximum allowable NRSA stipend level for postdoctoral fellows in effect at the time of appointment (see: <http://grants.nih.gov/training/nrsa.htm>).
- (5) The requested salary and fringe benefits for an investigator should be consistent with the level of support provided by NIH Career Development Awards. Participating NIH Institutes and Centers have varying salary caps (see: [Table of IC-Specific Information, Requirements and Staff Contacts](#)). Salary and fringe benefits must be in accordance with the salary structure of the grantee institution, and must be consistent with the level of effort.

**Note 1:** NIH is currently still under a freeze so no escalation in salary or costs is allowed in future years.

**Note 2:** Reasonable Accommodations: As part of these awards, funds may be requested to make changes or adjustments in the research setting that will make it possible for a qualified individual with a disability to perform the essential functions associated with his/her role on the project. See PA for specific types of accommodations that may be provided under these awards.

**Note 3:** For Graduate (Predoctoral) and Health Professional Students and Individuals in Postdoctoral Training: This program is not intended to provide an alternative or additional means of supporting individuals who already receive support from PHS funding mechanisms. Individuals in postdoctoral training or students, who are supported on an institutional Kirschstein-NRSA, a research grant, or any other PHS funding mechanism, may not be transferred to supplemental support prior to the completion of their appointed period of training. In addition, individuals may not be transferred to a supplement to increase the availability of funds to the parent grant for other uses.